

P23960.P01 <div style="text-align: center;">UTILITY PATENT APPLICATION TRANSMITTAL</div> <p>(Only for new nonprovisional applications under 37 CFR 1.53(b))</p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">Attorney Docket No.</td> <td style="width: 33%;">P23960</td> <td style="width: 33%;">Total Pages</td> <td></td> </tr> <tr> <td colspan="4">Inventor(s) or Application Identifier Suguru TAKISHIMA</td> </tr> <tr> <td colspan="4">Title: OPTICAL DISC DRIVE</td> </tr> </table>		Attorney Docket No.	P23960	Total Pages		Inventor(s) or Application Identifier Suguru TAKISHIMA				Title: OPTICAL DISC DRIVE			
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Title: OPTICAL DISC DRIVE															
ADDRESS TO: Commissioner for Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450															
APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS													
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>25</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>8</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>]</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]</p> <p style="margin-left: 40px;">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p>		<p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p style="margin-left: 20px;">b. Specification Sequence Listing on:</p> <p style="margin-left: 40px;">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p style="margin-left: 40px;">ii. <input type="checkbox"/> paper</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>1</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> Claim of Priority</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation</u> of Tokyo, JAPAN</p> <p>19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Regarding Executed Application</u></p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>													
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____/_____, filed _____.</p> <p>Prior application information: Examiner: _____ Group Art Unit: _____</p>															
<p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a ___ continuation-in-part, ___ continuation, ___ divisional, of Application No. _____/_____, filed _____.</p>															

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

9/25/03
Date

Bruce H. Bernstein
Signature
33,329

Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name

FEE TRANSMITTAL		Complete if Known	
		Application Number	Not Yet Assigned
		Filing Date	Concurrently Herewith
		First Named Inventor	Suguru TAKISHIMA
		Group Art Unit	Unassigned
Examiner Name		Unassigned	
TOTAL AMOUNT OF PAYMENT (\$) 790.00		Attorney Docket Number P23960	

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																										
<p>1. <input checked="" type="checkbox"/> The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-0089</u></p> <p>Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))</p> <p><input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p><input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION (fees effective 01/01/03)</p> <p>1. FILING FEE</p> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1001</td><td>750</td><td>2001</td><td>375</td><td>Utility filing fee</td><td><u>\$750.00</u></td></tr> <tr><td>1002</td><td>330</td><td>2002</td><td>165</td><td>Design filing fee</td><td>_____</td></tr> <tr><td>1003</td><td>520</td><td>2003</td><td>260</td><td>Plant filing fee</td><td>_____</td></tr> <tr><td>1004</td><td>750</td><td>2004</td><td>375</td><td>Reissue filing fee</td><td>_____</td></tr> <tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td>_____</td></tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$)<u>750.00</u></td> </tr> </tbody> </table> <p>2. 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1501	1,300	2501	650	Utility issue fee (or reissue)	_____																																																																																																																																																																																																																																																																																																						
1502	470	2502	235	Design issue fee	_____																																																																																																																																																																																																																																																																																																						
1503	630	2503	315	Plant issue fee	_____																																																																																																																																																																																																																																																																																																						
1460	130	1460	130	Petitions to the Commissioner	_____																																																																																																																																																																																																																																																																																																						
1807	50	1807	50	Petitions related to provisional applications	_____																																																																																																																																																																																																																																																																																																						
1806	180	1806	180	Submission of IDS	_____																																																																																																																																																																																																																																																																																																						
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	<u>\$40.00</u>																																																																																																																																																																																																																																																																																																						
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	_____																																																																																																																																																																																																																																																																																																						
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	_____																																																																																																																																																																																																																																																																																																						
1801	750	2801	375	Request for Continued Examination (RCE)	_____																																																																																																																																																																																																																																																																																																						
1802	900	1802	900	Request for expedited examination of a design application	_____																																																																																																																																																																																																																																																																																																						
Other fee (specify) _____					_____																																																																																																																																																																																																																																																																																																						
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SUBTOTAL (3)					(\$)<u>40.00</u>																																																																																																																																																																																																																																																																																																						

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Bruce H. Bernstein		Reg. Number	
Signature		<i>Bruce H. Bernstein</i>		29,027	
Date		7/25/03		Deposit Account User ID	
				19-0089	

P23960.A01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Suguru TAKISHIMA

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : OPTICAL DISC DRIVE

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner for Patents
Alexandria, VA 20313-1450

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicant's Japanese representative on September 22, 2003, and pages 1 - 25 of the specification (with Figures 1 - 8) which were forwarded to the undersigned by Applicant's Japanese representative on September 18, 2003. Further, the undersigned has been advised by the Japanese representative that the inventor had reviewed the application before executing the declaration.


Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

P23960.A01

Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,
Suguru TAKISHIMA


Bruce H. Bernstein
Reg. No. 29,027

Reg No 33,329

September 25, 2003
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